



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 3275.27US01

Yan et al.

Confirmation No.: 5733

Application No.: 10/082,603

Examiner: Pak, S.

Filed: February 22, 2002

Group Art Unit: 2874

For: FILTER RESPONSE OPTIMIZATION FOR AN ARRAYED WAVEGUIDE
GRATING DEVICE BY ADJUSTING GRATING OPTICAL PATH LENGTH AT
NANOMETER SCALE

NOTIFICATION OF CHANGE IN STATUS RESULTING IN
LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS
PURSUANT TO 37 C.F.R. § 1.27(g)(2)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant, through its attorney, hereby asserts that it is no longer eligible to claims small entity status in the above-referenced application.

Respectfully submitted,

Peter S. Dardi, Ph.D., Esq.
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Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No.50-3863.

CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

February 8, 2007
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Peter S. Dardi, Ph.D.



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SUBMISSION OF SUBSTITUTE DRAWINGS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Original drawings were submitted for filing with the above-identified patent application.

Enclosed for filing are five (5) sheets (Figs.1-6) of substitute drawings.

Respectfully submitted,

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